

3. Features

Monthly savings plan

(this feature is not available for the Perpetual Pure Credit Alpha Fund.)

establish

☐

cancel

☐

Monthly withdrawal plan

(this feature is not available for the Perpetual Pure Credit Alpha Fund.)

establish

☐

cancel

☐

If you wish to establish a monthly withdrawal plan, Perpetual will debit your bank account nominated in section 4 as bank account 1 and bank account 2 for monthly savings plan.

☐ I/We acknowledge and accept the terms and conditions of direct debit available at <https://www.perpetual.com.au/investmentfundsupdates> (complete section 4)

4. Bank account details

You can only nominate a bank account that is held in your name(s). By providing your bank account details in this section, you accept the terms in the Direct Debit Service Agreement and authorise Perpetual to use these details for all future transaction requests that you nominate.

If you are updating or providing new bank details, you will need to provide a copy of your bank statement for verification purposes.

Bank account 1

Complete your account details in this section if you would like us to debit or credit your bank account for withdrawals and payment of distributions, as applicable.

name of financial institution

branch name

branch number (BSB)

account number

name of account holder

signature of account holder A

signature of account holder B

date / /

Bank account 2

Only complete your account details in this section if you would like us to debit a **different** bank account for your **savings plan**.

name of financial institution

branch name

branch number (BSB)

account number

name of account holder

signature of account holder A

signature of account holder B

date / /

5. EFT

If making your payment via EFT please remit funds to the following bank account:

BSB: 082-001

Account number: 674 726 123

Payee name: PIML Wholesale Apps Trust Account

Payment reference: [your name] [investor number (if you have one)] [EFT Fund code] (see 'EFT Fund codes' in section 6.)

6. Investment allocation

Perpetual Investment Funds	APIR code	EFT Fund code	initial investment minimum \$25,000 per Fund	additional investment by EFT minimum \$2,000 per Fund	monthly savings plan or monthly withdrawal plan minimum \$100 per Fund	distributions (indicate preference with an X)	
						reinvest	bank account 1
Fixed income							
Perpetual Active Fixed Interest Fund	PER8045AU	PIFHAA				☐	☐
Perpetual ESG Credit Income Fund	PER1744AU	PIESCA				☐	☐
Perpetual Diversified Income Fund	PER0260AU	PIWDIN				☐	☐
Perpetual Dynamic Fixed Income Fund	PER0557AU	PIWDFI				☐	☐
Perpetual High Grade Floating Rate Fund	PER0562AU	PIYEF2				☐	☐
Australian shares							
Perpetual Australian Share Fund	PER0049AU	PWAS				☐	☐
Perpetual Concentrated Equity Fund	PER0102AU	PCEF				☐	☐
Perpetual ESG Australian Share Fund	PER0116AU	PIWSRP				☐	☐
Perpetual Geared Australian Share Fund	PER0071AU	PIWGAP				☐	☐
Perpetual Income Share Fund	PTC0002AU	PIPICF				☐	☐
Perpetual Industrial Share Fund	PER0046AU	PWIS				☐	☐
Perpetual SHARE-PLUS Long-Short Fund	PER0072AU	PIWSPP				☐	☐
Perpetual Smaller Companies Fund	PER0048AU	PMWSC				☐	☐
Perpetual Strategic Capital Fund	PER6669AU	PISCFR				☐	☐
Global shares							
Perpetual Global Allocation Alpha Fund	PER0066AU	PWSGF				☐	☐
Multi Asset – conservative							
Perpetual Conservative Growth Fund	PER0077AU	PIWCGF				☐	☐
Multi Asset – balanced							
Perpetual Diversified Growth Fund	PER0114AU	PWDGF				☐	☐
Perpetual Diversified Real Return Fund – Class W units (standard fee option)	PER0556AU	PIDRRF				☐	☐
Perpetual Diversified Real Return Fund – Class Z units (performance fee option)	PER6115AU	PIDRRZ				☐	☐
ESG Real Return Fund	PER0761AU	PIMACF				☐	☐

6. Investment allocation (continued)

Perpetual Investment Funds	APIR code	EFT Fund code	initial investment minimum \$25,000 per Fund	additional investment by EFT minimum \$2,000 per Fund	monthly savings plan or monthly withdrawal plan minimum \$100 per Fund	distributions (indicate preference with an X)	
						reinvest	bank account 1
Multi Asset – growth							
Perpetual Balanced Growth Fund	PER0063AU	PWBG				☐	☐
Total							
Closed Funds							
Perpetual's Australian Share Fund	PER0281AU						
Perpetual Balanced Growth Fund No. 2	PER0013AU						
Perpetual Pure Series Funds	APIR code	EFT Fund code	initial investment minimum \$25,000 per Fund	additional investment by EFT minimum \$2,000 per Fund	monthly savings plan or monthly withdrawal plan minimum \$100 per Fund	distributions (indicate preference with an X)	
						reinvest	bank account 1
Perpetual Pure Equity Alpha Fund	PER0668AU	PIWARA				☐	☐
Perpetual Pure Microcap Fund	PER0704AU	PIWMCA				☐	☐
Perpetual Pure Value Share Fund – Class A units	PER0439AU	PIBIAS				☐	☐
Perpetual Pure Value Share Fund – Class P units	PER7814AU	PIBIAP				☐	☐
Total							
Perpetual Pure Credit Alpha Fund	APIR code	EFT Fund code	initial investment minimum \$25,000 per Fund	additional investment by EFT minimum \$5,000 per Fund	distributions (indicate preference with an X)		
					reinvest	bank account 1	
Perpetual Pure Credit Alpha Fund – Class W units	PER0669AU	PISTIW			☐	☐	
Perpetual Specialist Series Funds	APIR code	EFT Fund code	initial investment minimum \$500,000 per Fund	additional investment by EFT minimum \$2,000 per Fund	monthly savings plan or monthly withdrawal plan minimum \$100 per Fund	distributions (indicate preference with an X)	
						reinvest	bank account 1
Perpetual Diversified Income Fund – Class S	PER1058AU	PIWDIS				☐	☐
Perpetual Australian Share Fund – Class S	PER9955AU	PWASS				☐	☐
Perpetual Concentrated Equity Fund – Class S	PER9419AU	PICEFS				☐	☐
Perpetual ESG Credit Income Fund – Class S	PER3364AU	PIESCS				☐	☐
Perpetual SHARE-PLUS Long-Short Fund – Class S	PER8590AU	PIWSPS				☐	☐
Perpetual Strategic Capital Fund – Class S	PER9275AU	PISCFW				☐	☐
Perpetual Diversified Real Return Fund – Class S	PER5503AU	PIDRRS				☐	☐
Total							

7. Target Market Assessment

To be completed only by an investor who is investing into the Perpetual Geared Australian Share Fund or Perpetual Pure Microcap Fund and is a non-advised direct investor (which is an investor without a financial adviser in this application). If this does not apply to you, please go to the next section.

Please complete the following questions by ticking one box for each question. We are seeking this information in relation to our Design and Distribution Obligations (DDO) under the Corporations Act 2001 (Cth).

We do not use the information you provide us in this application form to consider your individual objectives, financial situation or needs, however your responses to the questions below will assist us in determining whether you are likely to be in the target market for this product. If you are not in the target market for the product, your application may be rejected.

What is your primary investment objective?

Capital Growth (seeks to invest in a product designed or expected to generate capital returns over the investment timeframe, or otherwise seeks an investment return above the current inflation rate)

☐

Capital Preservation (seeks to invest in a product designed or expected to have low volatility and minimise capital loss)

☐

Income Distribution (seeks to invest in a product designed or expected to distribute regular and/or tax-effective income)

☐

What is the intended use of this product (% of investable assets)?

(Investable assets are those assets that the investor has available for investment, excluding the residential home.)

Standalone Solution (up to 100%)

☐

Major allocation (up to 75%)

☐

Core component (up to 50%)

☐

Minor allocation (up to 25%)

☐

Satellite allocation (up to 10%)

☐

What is your intended investment timeframe?

Short term (two years or less)

☐

Medium term (more than 2 years but less than 5 years)

☐

Medium to Long term (equal to 5 years or less than 7 years)

☐

Long term (more than 7 years)

☐

What is the risk and return profile for the relevant portion of your portfolio you are investing in this fund?

(for this product only)

Low (seeks to minimise volatility and potential losses and comfortable with a low target return)

☐

Medium (seeks low volatility and potential losses and comfortable with a moderate target return)

☐

High (can accept higher volatility and potential losses in order to target a higher target return over a long timeframe)

☐

Very high (can accept very high volatility and higher potential losses and seeks to maximise returns over a long timeframe)

☐

Extremely high (can accept significant volatility and losses to seek accelerated returns potentially in a short timeframe)

☐

8. Declaration and investor signature(s) (must be completed)

I/We declare and agree that:

- I/we have read the PDS and all Supplementary Product Disclosure Statements (SPDSs) (if applicable), and any relevant incorporated material to which this application applies, and have received and accepted the offer to invest in Australia or New Zealand
- all of the information provided in my/our application is true and correct
- I am/we are bound by any terms and conditions in this PDS and all SPDSs (if applicable) and the provisions of the constitutions (as amended) of the Fund/s that I am/we are invested in
- I/we have the legal power to invest and/or are at least 18 years of age
- I/we have read and understood the privacy disclosure as detailed in the PDS. I/We consent to my/our personal information being collected, held, used and disclosed in accordance with the privacy disclosure. I/We consent to Perpetual disclosing this information to my/our financial adviser (named in this form) in relation to the investments described in this form. Where the financial adviser no longer acts on my/our behalf, I/we will notify Perpetual of the change
- if I/we have received this PDS from the internet or other electronic means that I/we received it personally or a print out of it
- if this is a joint application, each of us agrees, unless otherwise indicated on this application, our investment is as joint tenants. Each of us is able to operate the account and bind the other(s) to any transaction including investments, switches or withdrawals by any available method
- in relation to trust investors, only the trustee has rights and obligations under the Fund/s
- withdrawals by companies must be signed by an authorised representative or in accordance with the company's constitution or under power of attorney

I/We acknowledge and agree that:

- the information contained in the PDS is not investment advice or a recommendation that the Fund is suitable having regard to my/our investment objectives, financial situation or particular needs
- Perpetual may be required to pass on my/our personal information or information about my/our investment to the relevant regulatory authorities, including for compliance with the Anti-Money Laundering and Counter-Terrorism Act 2006 or associated regulation and any tax-related requirements for tax residents of other countries
- investments in the Fund/s are not investments, deposits or other liabilities of Perpetual Limited or its subsidiaries (Perpetual Group) and are subject to investment and other risks, including possible delays in repayment and the loss of income and principal invested
- neither Perpetual Investment Management Limited nor Perpetual Group guarantee the repayment of capital or the performance of the Fund/s or any particular rate of return from the Fund/s
- the PDS has referred me/us to additional information or terms and conditions ('information') of this product which may assist me/us in making my/our investment decision and I/we have referred to this information to the extent I/we considered it was necessary to make my/our investment decision
- Perpetual Group may contact me/us where required by using the email address(es) provided on this form. I/We will notify Perpetual of any change to my/our email address(es). I/We understand that failure to advise such a change may result in me/us not receiving correspondence relating to my/our investment.

Additional declaration for New Zealand investors

I/We understand that the PDS is not an investment statement under New Zealand law and that there are likely to be differences between the information provided in a PDS as compared to an investment statement under New Zealand law. I/We have read and understand the 'Important additional information for New Zealand investors' section in the PDS.

8. Declaration and investor signature(s) (must be completed) (continued)

Joint applicants must both sign

signature of investor 1 or company officer print name Capacity (company investments only) Sole Director Director Secretary date / /	signature of investor 2 or company officer print name Capacity (company investments only) Director Secretary date / /
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Important notes

- You will be required to provide proof of your identity to us if previously provided certified identification has expired.
- If signing under power of attorney, the attorney certifies that he or she has not received notice of revocation of that power. The power of attorney, or a certified copy, must be sent to Perpetual, if not previously provided.
- Perpetual has the absolute discretion to accept or reject any application.
- Investors should retain a copy of the PDS.
- A business day is a working day for Perpetual in Sydney.

Please send the completed form to:
Perpetual Investments Unit Registry
Locked Bag 5038
Parramatta NSW 2124
or email: **PerpetualUTinstructions@cm.mpms.mufg.com**